

**RACIAL INEQUITIES IN PHYSICAL AND
CHEMICAL RESTRAINT USE IN EMERGENCY
PSYCHIATRY**

COLIN M. SMITH, MD, MS-GH
DUKE HUBERT-YEARGAN CENTER FOR GLOBAL HEALTH

JANE P. GAGLIARDI, MD, MHS
DUKE UNIVERSITY SCHOOL OF MEDICINE

NORTH CAROLINA PSYCHIATRIC ASSOCIATION
ANNUAL MEETING
OCTOBER 2, 2022

1

FUNDING DISCLOSURE



**REACH
EQUITY**
DUKE CENTER FOR RESEARCH
TO ADVANCE HEALTHCARE EQUITY



Duke | Hubert-Yeargan Center
FOR GLOBAL HEALTH

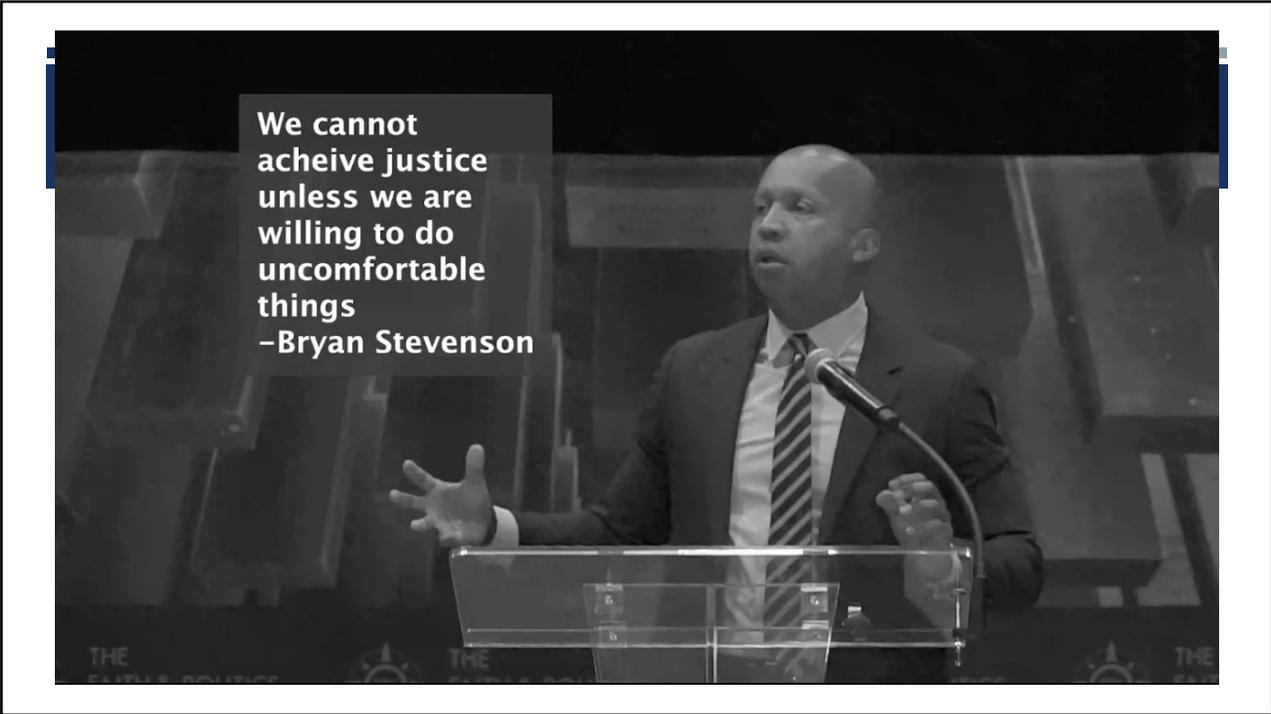


Duke | GLOBAL HEALTH
INSTITUTE



Department of Medicine
Duke University School of Medicine

2



3

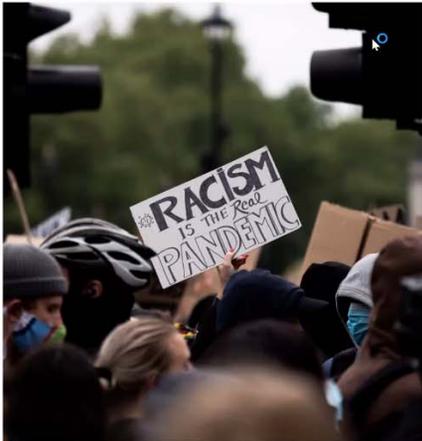
Identify	a case highlighting disparate outcomes by race in the emergency psychiatry setting
Discuss	existing evidence demonstrating racial disparities in emergency psychiatric care
Explore	the association of Black race with restraint use in the emergency setting
Describe	how structural racism contributes to physical and chemical restraint use in emergency psychiatry

LECTURE OBJECTIVES

4

STRUCTURAL RACISM

A SYSTEM IN WHICH PUBLIC POLICIES, INSTITUTIONAL PRACTICES, CULTURAL REPRESENTATIONS, AND OTHER NORMS WORK IN VARIOUS, OFTEN REINFORCING WAYS TO PERPETUATE RACIAL GROUP INEQUITY.



<https://www.aspeninstitute.org/blog-posts/structural-racism-definition/>



5

Identify	a case highlighting disparate outcomes by race in the emergency psychiatry setting
Discuss	existing evidence demonstrating racial disparities in emergency psychiatric care
Explore	the association of Black race with restraint use in the emergency setting
Describe	how structural racism contributes to physical and chemical restraint use in emergency psychiatry

6

DIFFERENTIAL TREATMENT BY RACE: A TALE OF TWO CASES

7

CASE I



The slide titled 'CASE I' contains three black icons. The first icon on the left shows a person lying in bed with a clock icon above their head, representing sleep or rest. The middle icon shows a person kneeling in a prayerful or contemplative pose. The third icon on the right shows a person sitting at a desk with a mind map of circles and arrows above their head, representing active thought or work.

8

CASE 2

The image contains three black icons on a white background. The first icon on the left shows a person lying in a bed, with a clock icon and three small circles above their head, suggesting sleep or a time-related concept. The middle icon shows a person sitting on a stool, leaning forward with their hand to their chin in a classic 'thinking' pose. The third icon on the right shows a person sitting at a desk, with a central circle and several arrows pointing to it from other circles, representing a mind map or a complex thought process.

9

CASE I

The image is a flow diagram with five main elements. At the top left is an ambulance with a white cross on its side. At the bottom center is an icon of a person holding a clipboard next to another person. At the top center is an unlocked padlock. At the bottom right is a pill. At the top right is a multi-story building with a sign that says 'PRIVATE'. Blue arrows indicate a clockwise flow: from the ambulance to the person with the clipboard, from the person with the clipboard to the unlocked padlock, from the unlocked padlock to the pill, and from the pill to the private hospital building.

10



11

“Every system is perfectly designed to get exactly the results it gets.”
– W. Edwards Deming

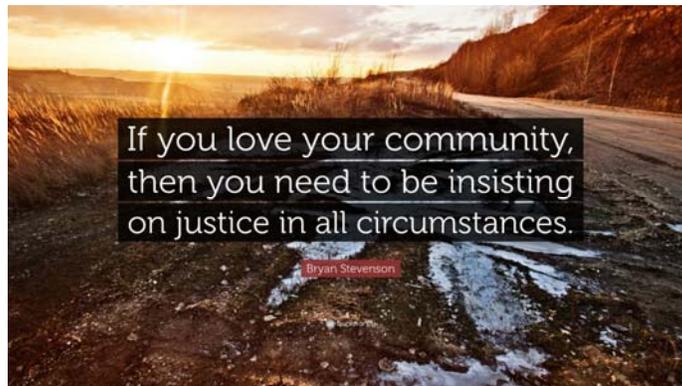
12

QUALITY IMPROVEMENT PROJECT: PSYCHIATRY ED

- Resident-driven: Drs. Nora Dennis, Krista Alexander, Kim Johnson, April Seay (now Toure)
- 3 weeks of data collection (April 2014): demographics, diagnosis, treatment, and disposition
 - Higher than expected rate of triage to locked psychiatry area for Black (but not white) patients

13

HOW DID WE GET HERE?



14

NEXT STEPS

- Data from same health system for FY 2014-2015
- Data from state hospital (Central Regional Hospital) for FY 2014-2015
- Compilation of data table

15

Table 2. Demographic characteristics of Durham County, Patients with Psychiatric Consults Placed in the DUH ED (FY 2015), Patients Triaged to PEU (3 weeks in 4/2014), Patients Admitted from DUHS to CRH (FY 2015), and Patients Admitted to Williams Ward (FY 2015)

<u>Race</u>	<u>Durham County Demographic</u>	<u>Number (percent) with psych consults in Emergency Department (FY 2015)</u>	<u>Number (percent) in PEU for 3 weeks in 4/2014</u>	<u>Patients Admitted to Central Regional Hospital from DUHS (FY2015)</u>	<u>Patients Admitted to Williams Ward (FY2015)</u>
		Total N = 913	Total N = 79	Total N = 88	Total N = 2076
African-American or Black	38.6%				
Caucasian or White	53%				

16

Identify	a case highlighting disparate outcomes by race in the emergency psychiatry setting
Discuss	existing evidence demonstrating racial disparities in emergency psychiatric care
Explore	the association of Black race with restraint use in the emergency setting
Describe	how structural racism contributes to physical and chemical restraint use in emergency psychiatry

LECTURE OBJECTIVES

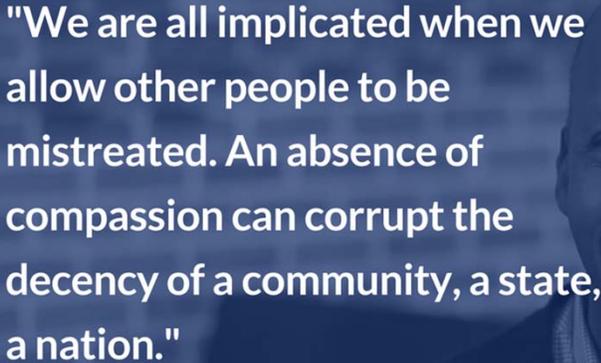
17

WHAT IS A RESTRAINT AND WHY DO WE CARE?

- Limit individual's freedom of movement
- Inherently coercive and forceful
- Associated with adverse outcomes
- Morally injurious act

Mohr et al, Can J Psychiatry. 2003; 48:330-337 ; Hays, et al., West J Emerg Med 2012; 13:536.

18



BRYAN STEVENSON

Wong, et al. Acad Emerg Med. 2017;24(2):226-235.

19

DISPARITIES IN PHYSICAL RESTRAINT USE

Table 2
Risk of Restraint by Race

Race	Absolute Risk, % (CI)	RR (95% CI) Compared to Whites	p-value
Asian	0.80 (0.62-1.03)	0.71 (0.55-0.92)	0.009*
Hispanic or Latino	1.00 (0.67-1.50)	0.89 (0.59-1.33)	0.568
Declined	1.00 (0.57-1.76)	0.89 (0.50-1.56)	0.677
Other	1.11 (0.96-1.28)	0.98 (0.84-1.14)	0.800
White	1.13 (1.07-1.19)		
Black or African American	1.37 (1.20-1.57)	1.22 (1.05-1.40)	0.007*
Native Hawaiian or Other Pacific Islander	1.48 (0.37-5.94)	1.31 (0.33-5.26)	0.705
American Indian or Alaskan Native	1.52 (0.57-4.06)	1.35 (0.51-3.60)	0.548
Unavailable	2.00 (1.73-2.31)	1.77 (1.52-2.07)	<0.001*

Table 2. Odds of Receiving a Physical Restraint Order by Variable in a Logistic Regression Model

Age	0.99 (0.98-0.99)	<.001
Race		
Asian	0.78 (0.56-1.09)	.15
Black or African American	1.13 (1.07-1.21)	<.001
White	1 [Reference]	NA
Other	1.11 (0.99-1.24)	.07

- 195,092 ED visits from 2016-2018
- Controlled for sex, insurance, diagnosis, age, homelessness, violence
- 726,417 ED visits from 2013-2018
- Controlled for sex, age, ethnicity, insurance, substance use, homelessness

Schnitzer et al. 2020. ACEM 27(10)

20

DISPARITIES IN CHEMICAL RESTRAINT USE

- 442 observed patient encounters in 4 urban EDs
- Controlled for psychotic disorder, global assessment scale, psych history, hours in the ED, clinician effort



Black - White
1.92 vs. 1.13 p<.001



Black - White
3.1 vs. 2.2 p<.02



Black - White
Beta .54 p=.04



Black - White
1.821 vs. .825g p<.001

Segal et al. 1996. Psych Serv. 47(3).

21

DISPARITIES IN CHEMICAL RESTRAINT USE

- 389,885 US mental health pediatric ED visits, 2009-2019

Visit characteristics	All mental health ED visits, n = 389 885 (%)	ED visits with pharmacologic restraint use, n = 13 643 (%)	ED visits without pharmacologic restraint use, n = 376 242 (%)	P value
Race				
White	231 947 (59.5)	8010 (58.7)	223 937 (59.5)	.004
Black	87 147 (22.3)	3560 (26.1)	83 587 (22.2)	<.001

Foster et al. 2021. J Pediatr. 236:276-283.

22

Identify	a case highlighting disparate outcomes by race in the emergency psychiatry setting
Discuss	existing evidence demonstrating racial disparities in emergency psychiatric care
Explore	the association of Black race with restraint use in the emergency setting
Describe	how structural racism contributes to physical and chemical restraint use in emergency psychiatry

LECTURE OBJECTIVES

23

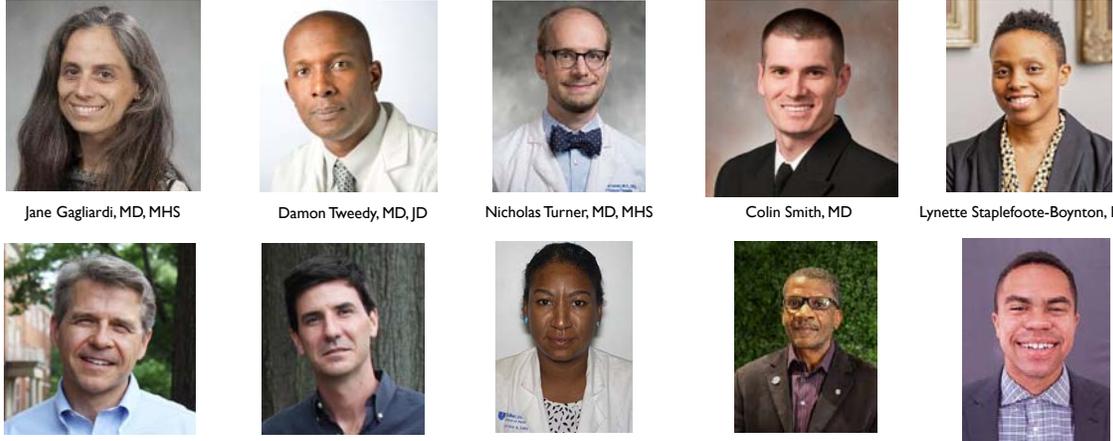
Association of Black Race With Physical and Chemical Restraint Use Among Patients Undergoing Emergency Psychiatric Evaluation

Colin M. Smith, M.D., Nicholas A. Turner, M.D., M.H.Sc., Nathan M. Thielman, M.D., M.P.H., Damon S. Tweedy, M.D., Joseph Egger, Ph.D., Jane P. Gagliardi, M.D., M.H.S.

Colin Smith et al. Psychiatr Serv. 2021

24

TEAM



Jane Gagliardi, MD, MHS Damon Tweedy, MD, JD Nicholas Turner, MD, MHS Colin Smith, MD Lynette Staplefoote-Boynton, MD, MPH

Nathan Thielman, MD, MPH Joseph Egger, PhD Lori-Ann Daley, MD Keith Daniel, DDiv Chris Lea, MS3

25

OBJECTIVE

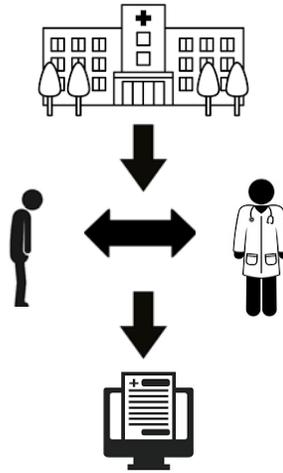
- To determine whether physical and chemical restraint use was more common in Black patients undergoing emergency psychiatric evaluation compared to white patients

Smith et al. Psychiatr Serv. 2021

26

METHODS: STUDY DESIGN

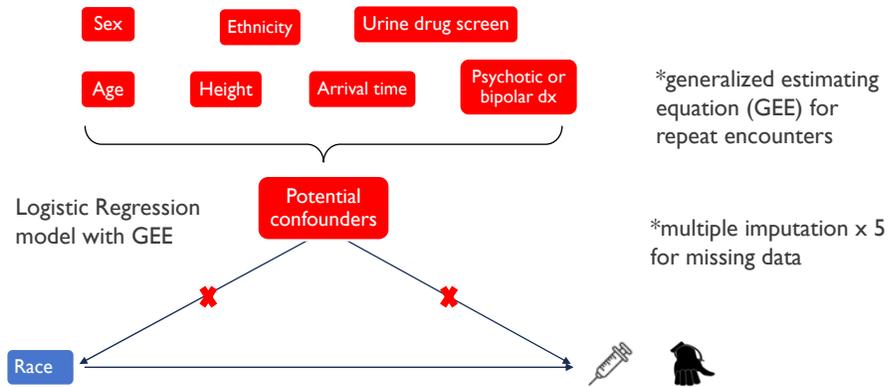
- Single center retrospective cohort study
- Patients ≥ 18 years old evaluated by Duke University Hospital Psychiatry consult service in the emergency department
- Electronic health record data from 2014-2020



Smith et al. Psychiatr Serv. 2021

27

METHODS: STATISTICAL ANALYSIS



Smith et al. Psychiatr Serv. 2021

28

METHODS: DEFINING EXPOSURE

Race → Self-reported
Black, White, Asian, Multiracial,
Other, unreported

Colin Smith et al. Psychiatr Serv. 2021

29

METHODS: DEFINING OUTCOMES

 → physical holds, mitts, soft restraints,
locking cuffs, or neoprene cuffs

Colin Smith et al. Psychiatr Serv. 2021

30

METHODS: A NOTE ON VIOLENT RESTRAINTS

- Violent restraint: Invoked for patient behaviors including violence, severely aggressive behavior, self-injurious behavior, or inability to exhibit safe behaviors
- Non-violent restraint: Ordered for patient behaviors including pulling of lines or tubes or behaviors related to toxic, metabolic syndromes, dementia, or brain injury

Colin Smith et al. Psychiatr Serv. 2021

31

METHODS: DEFINING OUTCOMES

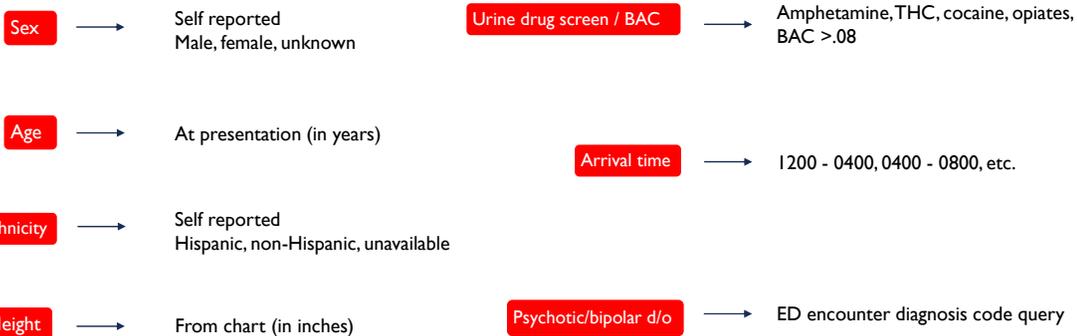


Parenteral administration of chlorpromazine, fluphenazine, haloperidol, olanzapine, and ziprasidone

Colin Smith et al. Psychiatr Serv. 2021

32

METHODS: DEFINING POTENTIAL CONFOUNDERS



Colin Smith et al. Psychiatr Serv. 2021

33

RESULTS: DEMOGRAPHICS

Characteristic	Overall (N=12,977)	
	Median	IQR
Age (years)	37.0	27.0–52.0
Height (inches) ^a	67.0	64.0–70.0
	N	% ^b
Sex		
Female	5,816	44.8
Male	7,159	55.2
Missing	2	<.01
Race		
Asian	234	1.8
Black	6,287	48.4
White	5,263	40.6
Multiracial	682	5.3
Other	326	2.5
Unreported	178	1.4
Missing	7	0.1
Ethnicity		
Hispanic	566	4.4
Non-Hispanic	12,137	93.5
Unreported	266	2.0
Missing	8	<0.1

Colin Smith et al. Psychiatr Serv. 2021

34

RESULTS: DEMOGRAPHICS

Shift		
12:00 a.m.–3:59 a.m.	1,620	12.5
4:00 a.m.–7:59 a.m.	782	6.0
8:00 a.m.–11:59 a.m.	1,640	12.6
12:00 p.m.–3:59 p.m.	2,881	22.2
4:00 p.m.–7:59 p.m.	3,143	24.2
8:00 p.m.–11:59 p.m.	2,911	22.4
Diagnosis		
Bipolar disorder	2,045	15.8
Psychotic disorder	4,383	33.8
Missing	225	1.7
Laboratory tests ^c		
Amphetamine ^d	320	3.8
THC ^e	2,239	26.8
Cocaine ^f	1,646	19.7
Opiate ^g	552	6.6
Peak ethanol level ≥ 80 mg/dl ^h	1,063	13.1

Colin Smith et al. Psychiatr Serv. 2021

35

RESULTS: PRIMARY OUTCOMES

TABLE 2. Unadjusted rate of physical restraint and chemical restraint use, by patient's race

Characteristic	Overall (N=12,977)		Black (N=6,287)		White (N=5,263)	
	N	%	N	%	N	%
Patients receiving physical restraint	961	7.4	548	8.7	284	5.4
Patients receiving chemical restraint	2,047	15.8	1,136	18.1	647	12.3

Colin Smith et al. Psychiatr Serv. 2021

36

RESULTS: PRIMARY OUTCOMES

TABLE 3. Adjusted odds of receiving physical restraint, by patient's race^a

Characteristic	OR	95% CI	AOR	Robust 95% CI
Asian	1.03	0.58–1.83	0.58	0.21–1.64
Black	1.67	1.44–1.94	1.35	1.07–1.72
Multiracial	2.09	1.60–2.75	1.84	1.20–2.80
Other	1.39	0.90–2.15	1.32	0.65–2.65
Unreported	2.09	1.28–3.42	0.84	0.29–2.43

^a Reference group: White.

Colin Smith et al. Psychiatr Serv. 2021

37

RESULTS: PRIMARY OUTCOMES

TABLE 4. Crude and adjusted odds of receiving chemical restraint, by patient's race^a

Characteristic	OR	95% CI	AOR	Robust 95% CI
Asian	1.13	0.77–1.66	1.10	0.66–1.84
Black	1.57	1.42–1.75	1.33	1.15–1.55
Multiracial	1.84	1.50–2.26	2.11	1.56–2.84
Other	1.23	0.90–1.69	1.52	0.94–2.46
Unreported	2.27	1.60–3.23	1.30	0.64–2.65

^a Reference group: White.

Colin Smith et al. Psychiatr Serv. 2021

38

DISCUSSION: LIMITATIONS

- Physical restraint was based on order
- EHR data does not include “appropriateness” of use
- Retrospective
- Generalizability

39

CONCLUSION

- Evidence of *systemic injustice and racism* in healthcare

Smith et al., Psych Serv. 2021

40

Identify	a case highlighting disparate outcomes by race in the emergency psychiatry setting
Discuss	existing evidence demonstrating racial disparities in emergency psychiatric care
Explore	the association of Black race with restraint use in the emergency setting
Describe	how structural racism contributes to physical and chemical restraint use in emergency psychiatry

LECTURE OBJECTIVES

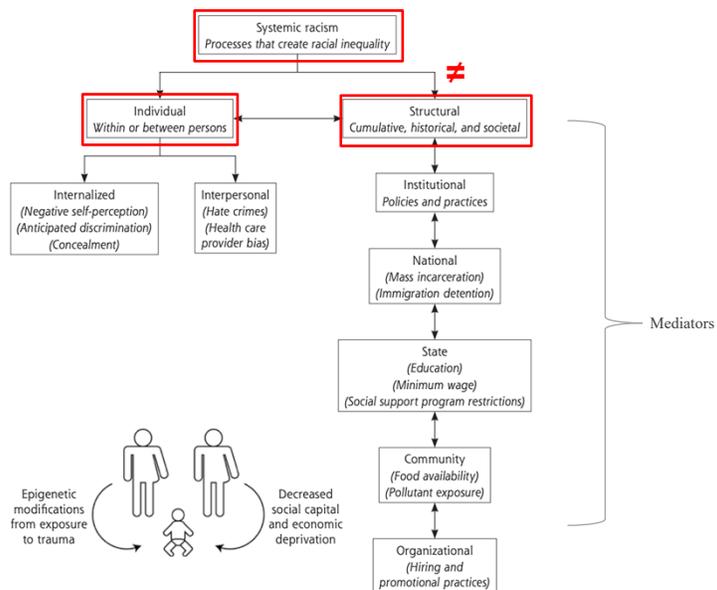
41

Racial inequity is a problem of bad policy, not bad people.

IBRAM X. KENDI
HOW TO BE AN ANTIRACIST

42

DISCUSSION: LEVELS OF RACISM IN CARE



Lett et al. Ann Fam Med. 2022 Jan 19;2792.doi: 10.1370/afm.2792

43

DISCUSSION: INTERPERSONAL RACISM

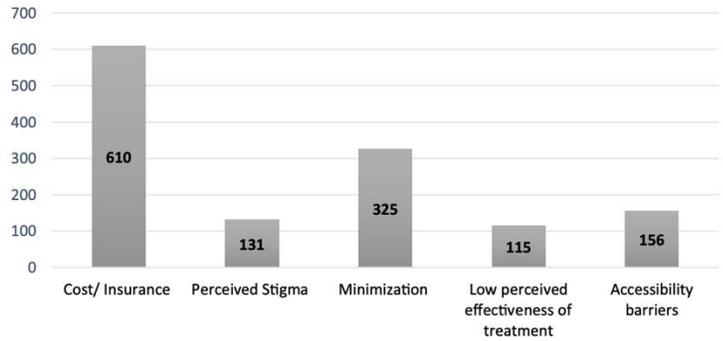
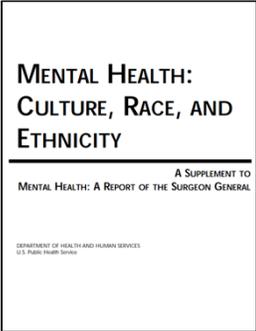
- Clinicians have implicit preference for white patients
- Hold false beliefs about biological differences between races
- Beliefs impact outcomes
 - E.g., pain



Dehon et al. Acad Emerg Med 2017; 24:895-904

44

DISCUSSION: ACCESS AS STRUCTURAL RACISM



HHS, 1999; HHS, 2001; Alang, SM. Health Serv Res. 2019;54(2):346-355.

45

DISCUSSION: INSURANCE STATUS AS A MEDIATOR FOR ACCESS

Payor	OR (95% CI)
Private	1 (Reference)
Medicaid	10.35 (5.57 - 21.47)
Medicare	7.47 (3.98 - 15.57)
Uninsured	9.71 (5.21 - 20.18)
Other	4.88 (1.64 - 13.30)

Colin Smith et al., unpublished

46

DISCUSSION: CRIMINALIZATION AND HYPERINCARCERATION

- In the U.S., 3 of the largest centers for mental health are county jails
- Black people are 5x more likely to be imprisoned



NAMI, 2022

47

RECONSIDERING OUR APPROACH

Strategies to address bias in ED agitation management

Jin et al. 2021. Acad. Emerg. Med. doi: 10.1111/acem.14277

48

“A bad system will beat a good person every time.”
– W. Edwards Deming

LIVED EXPERIENCE

- Develop an understanding of experiences and needs of Black individuals seen in the DUH psych ED
- Develop hypotheses for drivers of racial inequities in care



Colin Smith et al., unpublished

LIVED EXPERIENCE: *criminalization, stigma, vulnerability, helpful interventions, insight, mismatch*

“I am thinking I am going to go to a hospital bed...when I got to the hospital it was like you took me to jail anyway.”



“Being put in a police car and in hand restraints felt like I was a criminal. I just wanted to get help.”

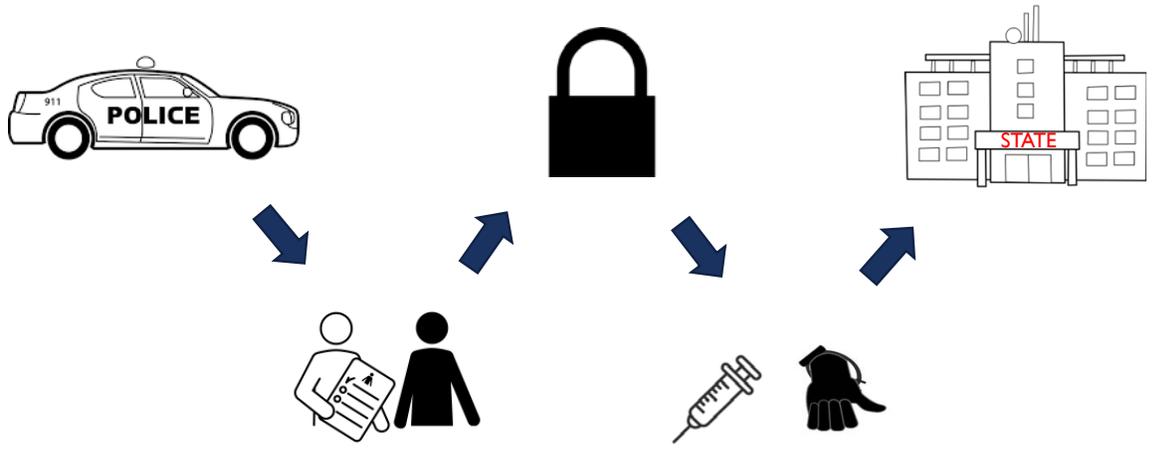
“I was strapped down - ten or more Caucasian people strip my clothes off - that was rape to me.”

“Experiences were traumatic but it was the only way to get help.”

Colin Smith et al., unpublished

51

WHERE DO GO FROM HERE?



52

WHERE DO GO FROM HERE?

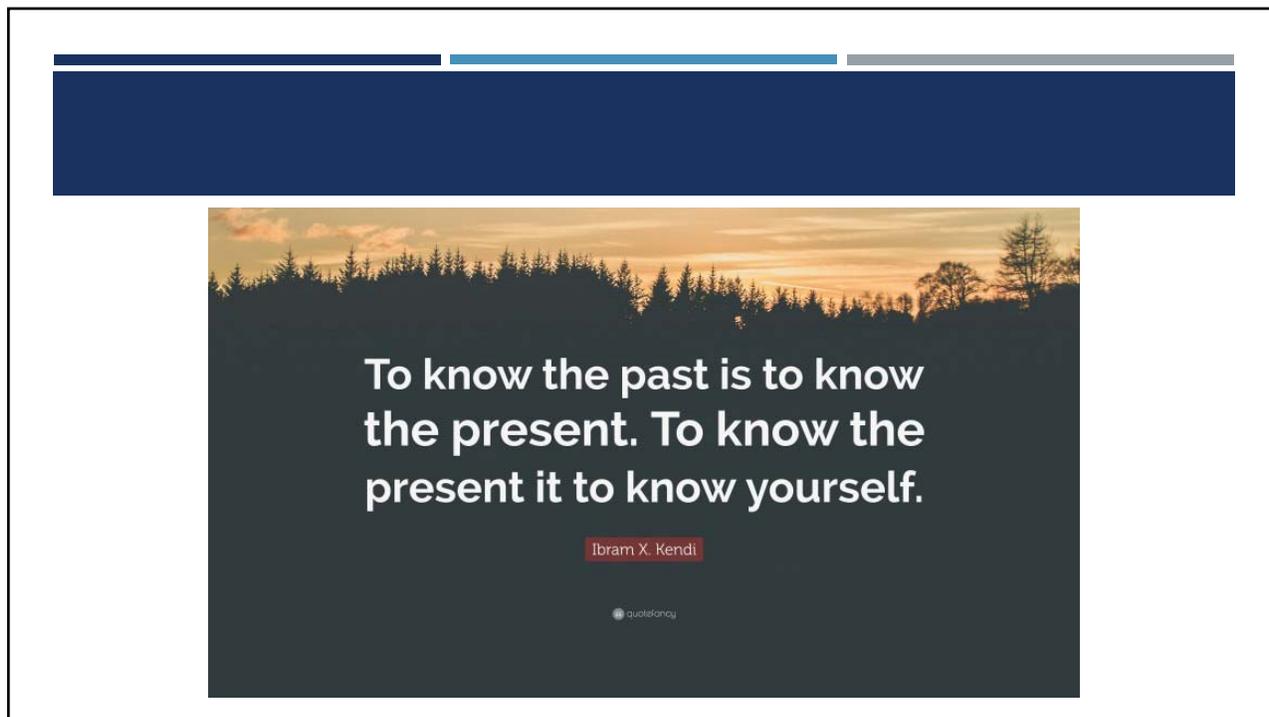
“What has not been revealed cannot be healed.”
- Candice Cox, LCSW

53

QUESTIONS?



54



55

REFERENCES

- U.S. Department of Health and Human Services. (2001). *Mental Health: Culture, Race, and Ethnicity—A Supplement to Mental Health: A Report of the Surgeon General*. Rockville, MD: U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Center for Mental Health Services.
- U.S. Department of Health and Human Services. (1999). *Mental Health: A Report of the Surgeon General*. Rockville: U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Center for Mental Health Services.
- Manseau M, Case BG. Racial-ethnic disparities in outpatient mental health visits to U.S. physicians, 1993-2008. *Psychiatr Serv*. 2014;65(1):59-67. doi:10.1176/appi.ps.201200528
- Schnitzer K, Merideth F, Macias-Konstantopoulos W, Hayden D, Shtasel D, Bird S. Disparities in Care: The Role of Race on the Utilization of Physical Restraints in the Emergency Setting. *Acad Emerg Med*. 2020;27(10):943-950. doi:10.1111/acem.14092
- Wong AH, Ray JM, Rosenberg A, et al. Experiences of Individuals Who Were Physically Restrained in the Emergency Department. *JAMA Netw Open*. 2020;3(1):e1919381. Published 2020 Jan 3. doi:10.1001/jamanetworkopen.2019.19381
- Segal SP, Bola JR, Watson MA. Race, quality of care, and antipsychotic prescribing practices in psychiatric emergency services. *Psychiatr Serv*. 1996;47(3):282-286. doi:10.1176/ps.47.3.282
- Foster AA, Porter JJ, Monuteaux MC, Hoffmann JA, Hudgins JD. Pharmacologic Restraint Use During Mental Health Visits in Pediatric Emergency Departments. *J Pediatr*. 2021;236:276-283.e2. doi:10.1016/j.jpeds.2021.03.027
- Pinals DA, Packer IK, Fisher W, Roy-Bujnowski K. Relationship between race and ethnicity and forensic clinical triage dispositions. *Psychiatr Serv*. 2004;55(8):873-878. doi:10.1176/appi.ps.55.8.873
- Strakowski SM, Lenczak HS, Sax KW, et al. The effects of race on diagnosis and disposition from a psychiatric emergency service. *J Clin Psychiatry*. 1995;56(3):101-107.
- Institute of Medicine (US) Committee on Understanding and Eliminating Racial and Ethnic Disparities in Health Care, Smedley BD, Stith AY, Nelson AR, eds. *Unequal Treatment: Confronting Racial and Ethnic Disparities in Health Care*. Washington (DC): National Academies Press (US); 2003.
- Agboola IK, Coupet E Jr, Wong AH. "The Coats That We Can Take Off and the Ones We Can't": The Role of Trauma-Informed Care on Race and Bias During Agitation in the Emergency Department. *Ann Emerg Med*. 2021;77(5):493-498. doi:10.1016/j.annemergmed.2020.11.021
- Jin RQ, Anebere TC, Haar RJ. Exploring bias in restraint use: Four strategies to mitigate bias in care of the agitated patient in the emergency department [published online ahead of print, 2021 May 11]. *Acad Emerg Med*. 2021;10.1111/acem.14277. doi:10.1111/acem.14277
- Bhattacharyya, s. et al. *AMA J Ethics*. 2021;23(4):E340-348. doi: 10.1001/amajethics.2021.340.
- Smith CM, Turner NA, Thielman NM, Tweedy DS, Egger J, Gagliardi JP. Association of Black Race With Physical and Chemical Restraint Use Among Patients Undergoing Emergency Psychiatric Evaluation [published online ahead of print, 2021 Dec 21]. *Psychiatr Serv*. 2021;appi.ps.202100474. doi:10.1176/appi.ps.202100474

56

Denial is the heartbeat of racism,
beating across ideologies, races,
and nations. It is beating within us.

Ibram X. Kendi

#oudakong