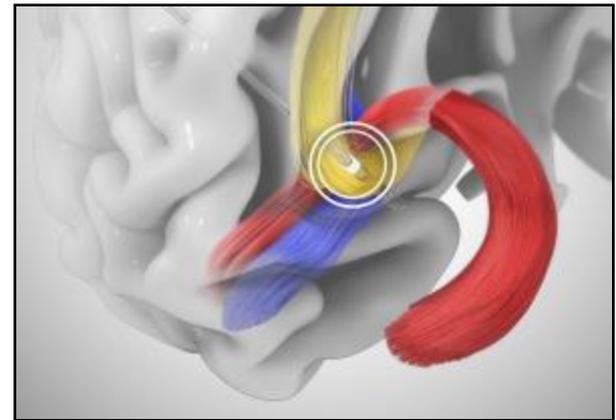
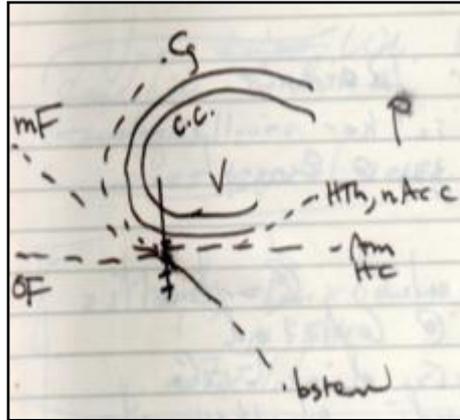
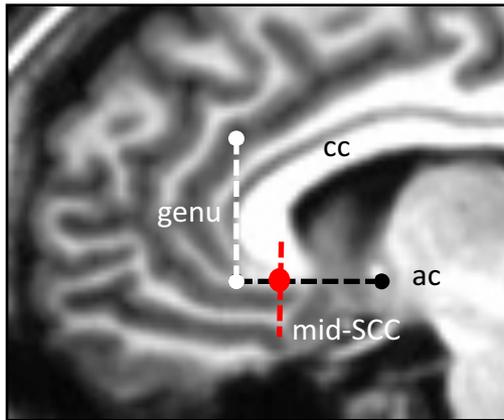


# Rethinking Depression and its Treatment: Insights from Studies of Deep Brain Stimulation



**Helen Mayberg**  
Emory University

North Carolina Psychiatric Association  
September 11, 2016  
Asheville NC

# Disclosures

Grant Support: NARSAD, Dana Foundation, Woodruff Fund  
Stanley Medical Research Institute  
Hope for Depression Research Foundation  
NIMH (C McIntyre) 1R01MH102238, 1R01MH106173

Off-Label Use of Devices: DBS electrodes/pulse generators  
1. Medtronic Inc. (UT, Emory)  
2. St. Jude Medical, Inc (Emory)

Emory DBS studies: FDA IDE: G060028 (PI: HM), G130107 (PI: HM)  
Clinicaltrials.gov ID#: NCT00367003, NCT01984710  
research devices donated by SJM and Medtronic and EGI

Patent: US2005/0033379A1 (Andres Lozano, co-inventor)  
issued March 2008, St. Jude Medical Inc, assignee

Consultant: St Jude Medical Inc / Neuromodulation Division

# Genealogy

## 6 degrees of separation

USC



Leslie Weiner

Harvard



Ross Baldessarini



Norm Geschwind



Richard Mayeux



Lewis Rowland

Neurological  
Institute of NY  
Columbia

Johns  
Hopkins



Henry Wagner



Bob Robinson



Sergio Starkstein



Mahlon DeLong



Guy McKahn

UTHSCSA



Peter Fox



Dave Sherman



Mario Liotti



Steve Brannan

U Toronto



Don Stuss



Zindel Segal



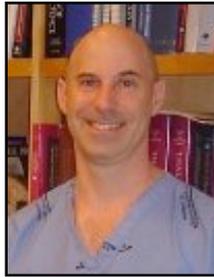
Sid Kennedy



Andres Lozano

# Emory Depression DBS Team

## Neurosurgery



R Gross

## Psychiatry



P Riva Posse



A Crowell

**P Holtzheimer**

**S Garlow**

B Dunlop

D Wint

M Kelley

## DTI, fMRI, PET Imaging, Modeling



K Choi



J Rajendra



C McIntyre

## Electrophysiology LFP, EEG

K Mewes  
P. Corballis  
J. Broadway  
M Hillimire  
JL Lujan



O Smart



V Tiruvadi



A Waters



A Veerakumar

## Psychophysiology



C Inman



S Hamann



K Bijanki

## Psychotherapy



L Ritschel



C Ramirez

## Patient Coordination



S Quinn



L Denison

# DBS for Depression: Motivation

Depression...It is a storm indeed, but a storm of murk.  
Soon evident are the slowed-down responses, near paralysis,  
psychic energy throttled back close to zero.

...nearly immobilized and in a trance of supreme discomfort...  
a condition of helpless stupor in which cognition is replaced by  
that positive and active anguish.”

William Styron 1991

Treatments are available, but not always effective (more Tues)

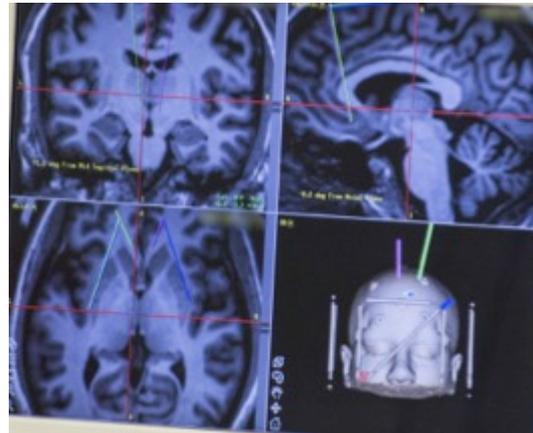
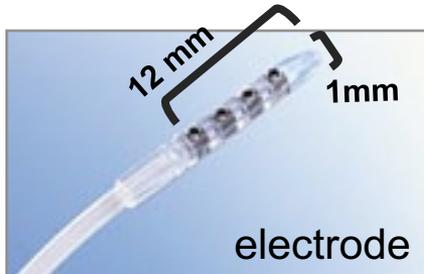
- 10% become treatment resistant over time
- few options if fail ECT

Rationale for Neuromodulation as a Potential Strategy

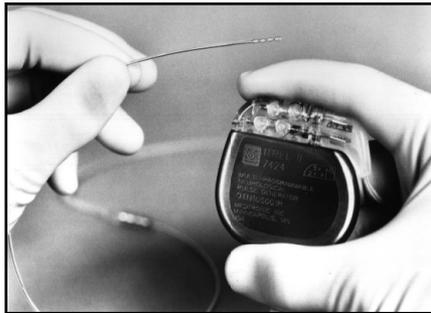
- advances in functional neurosurgery and imaging
- experience in Parkinson's disease

# DBS 101: Basic Procedure

Equipment



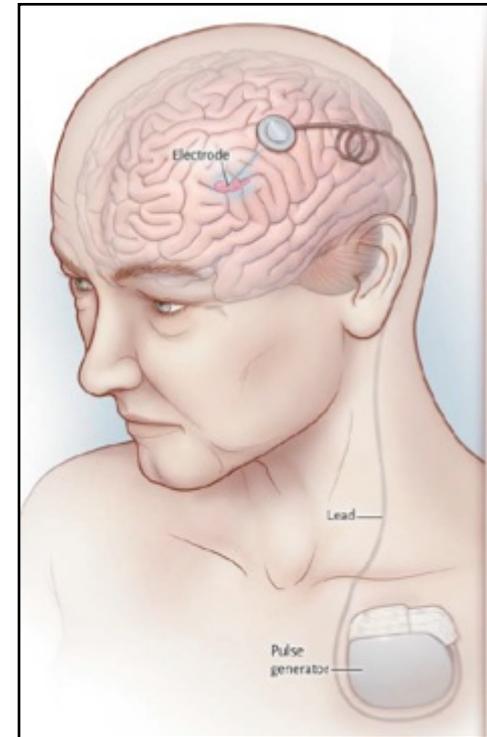
MRI Guided targeting



IPG: implantable pulse generator



Stereotaxic Implantation  
+/- awake, recording, testing

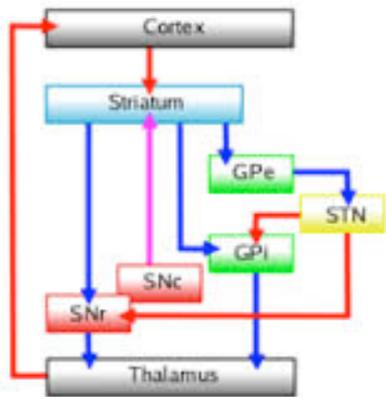


DBS system in situ  
disease specified location  
130Hz/90us/3-8mA  
chronic continuous stim

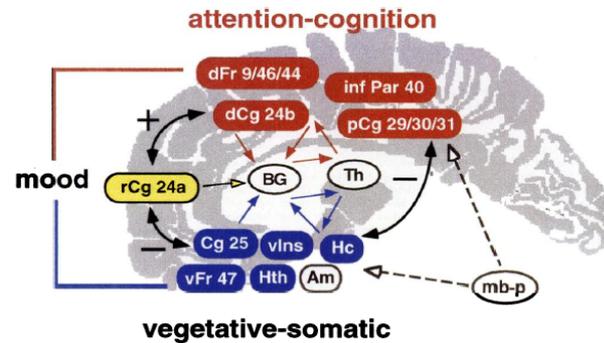
# First Step: Define the Circuit

Deconstruct syndrome into component dimensions

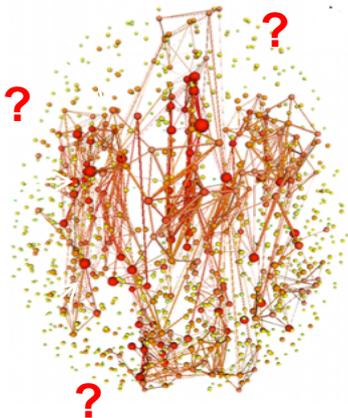
## Parkinson's Disease



## Depression



Symptoms map to distinct pathways.  
Treatment impacts some or all subcircuits



- WHERE to stimulation (critical node)
- WHAT should happen (endpoint, target engagement)
- WHO to stimulate (patient selection biomarker)

# Target core symptom(s) can this be defined?

“A gnawing agony; a painful self-loathing that consumes all your energy and attention...”

DBS #7 2004

“Can’t get away from inside yourself...”

DBS #29 2011

“In depression, faith in deliverance, in ultimate restoration, is absent.

The pain is unrelenting,  
and what makes the condition intolerable is the foreknowledge that no remedy will come—not in a day, an hour, a month, or a minute.

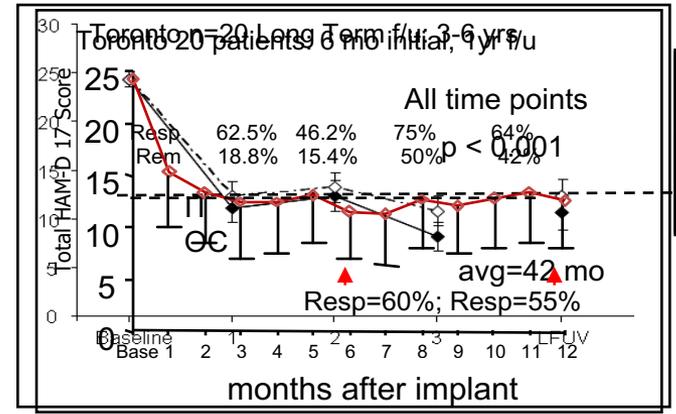
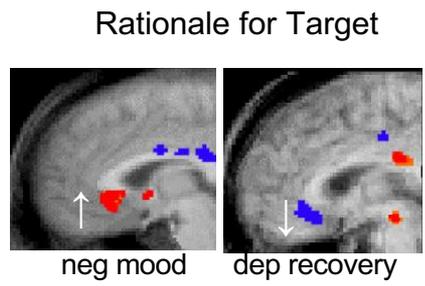
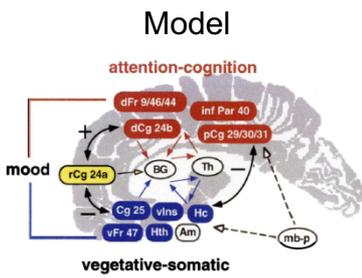
William Styron, 1991

Pain + Avolition

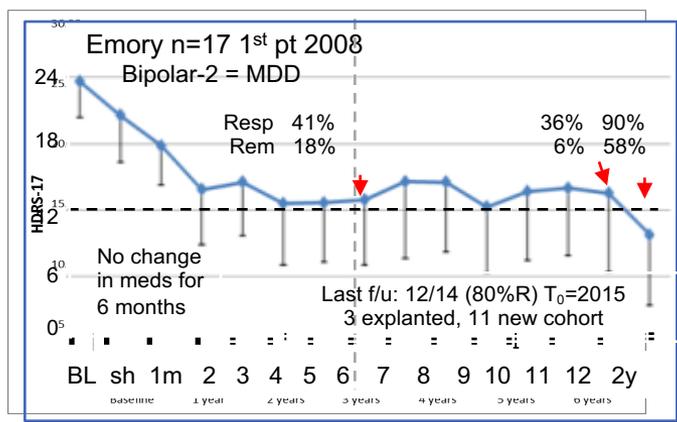
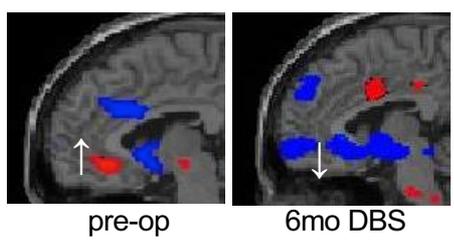
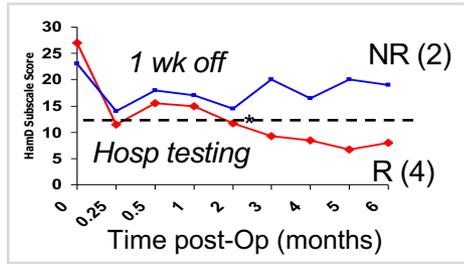
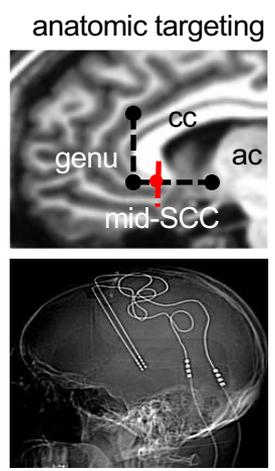
# SCC25 DBS for Treatment Resistant Depression

## experimental evolution 2005-2016

Neuron, Vol. 45, 1-10, March 3, 2005,  
**Deep Brain Stimulation for Treatment-Resistant Depression**  
 Helen S. Mayberg,<sup>1,2,\*</sup> Andres M. Lozano,<sup>3,\*</sup>  
 Valerie Voon,<sup>4</sup> Heather E. McNeely,<sup>5</sup>  
 David Semnolowicz,<sup>6</sup> Clement Hamani,<sup>3</sup>  
 Jason M. Schwalb,<sup>3</sup> and Sidney H. Kennedy<sup>4</sup>



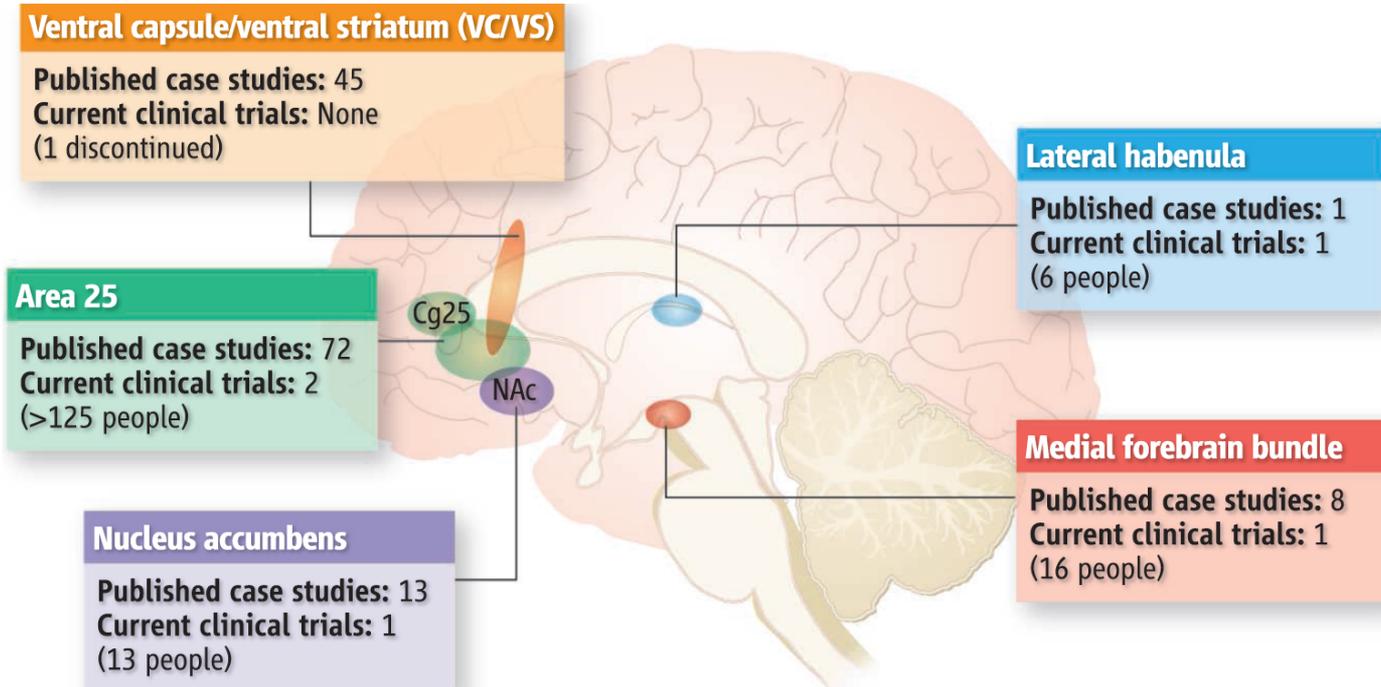
Kennedy Am J Psych 2011  
 Lozano Biol Psych 2008



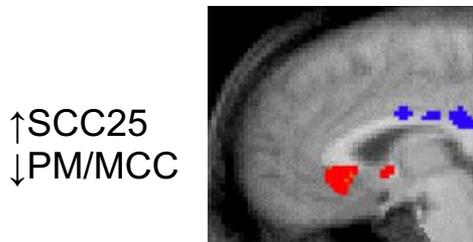
Hoffmeier et al Arch Gen Psych 2012 10

last count: 3 explanted  
 21/25 (84%) responders

# Other Centers, Other Targets, Different Logic

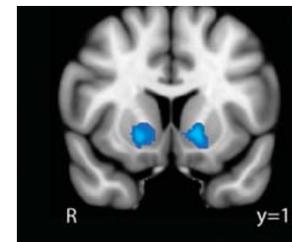


Science Focus News 2013



Hi NA + hi Psychomotor

Same circuit, different node?  
 Different patients, Different dominant symptoms? Different targets?  
 issues with RCT.



Low PA + Low energy

# Lessons Learned, Next Steps

details matter

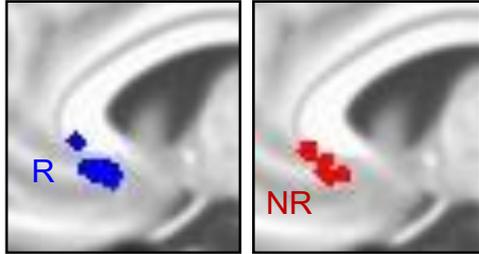
1. WHERE (target location, contact selection)
2. WHO (patient selection, TRD subtypes)
3. WHAT (acute/chronic target engagement biomarkers)
4. REFINE (closed loop; relapse anticipation/prevention)

device trials  $\neq$  drug trials.  
reduce sources of variance  
before attempting further RCTs

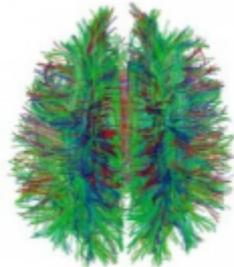
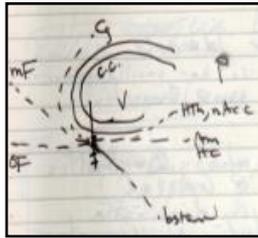
# Step 1: Where

## Refine methods for Precision Network Targeting

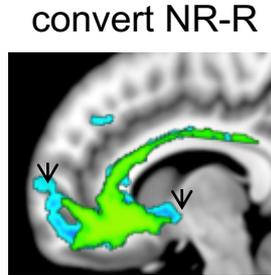
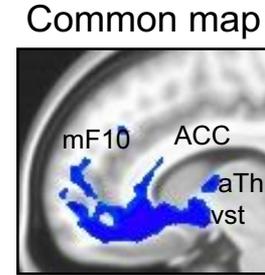
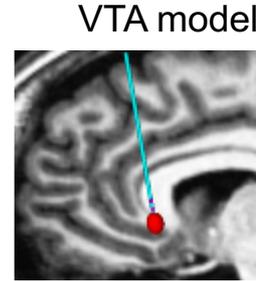
target  
not a  
coordinate



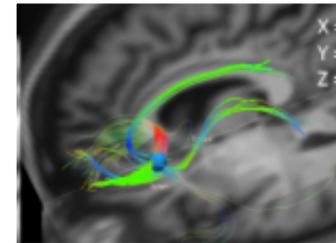
need  
network



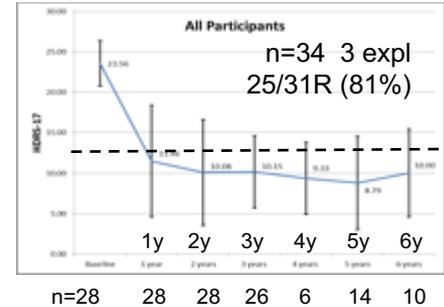
Cohort 1  
6m R=41%  
n=7/17



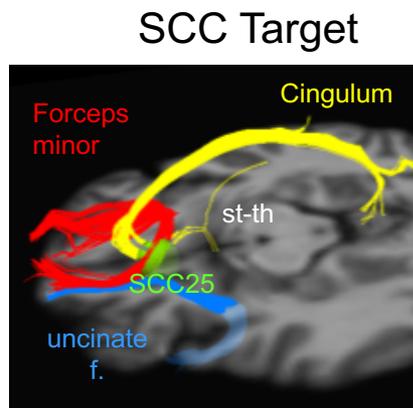
Cohort 2  
DTI planning  
6m R=73%  
n=8/11



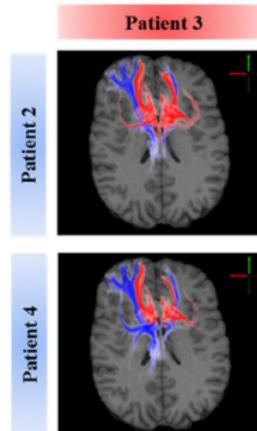
d-DTI in single Ss



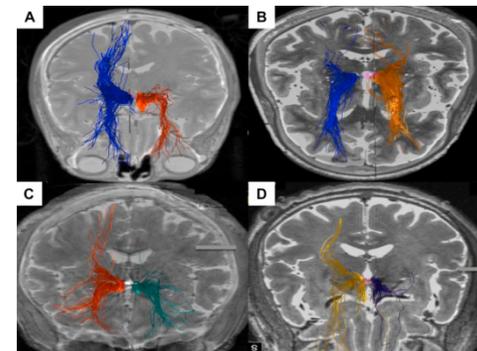
Test  
method  
on data from  
other DBS  
targets



vC-vSt target



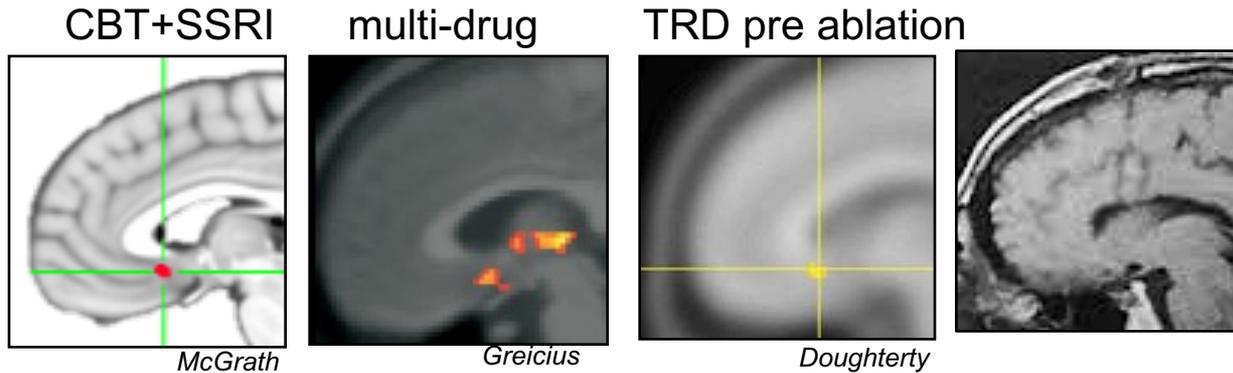
MFB target



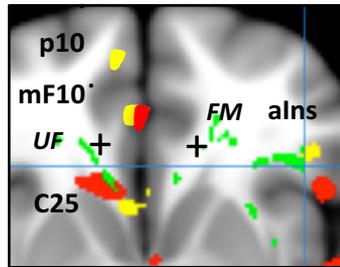
# Step 2: Who

## Patient Selection Biomarkers

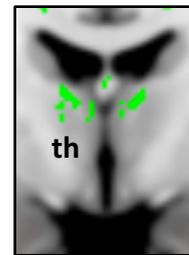
PET, rs-fMRI  
 ↑ SCC25  
 in TRD



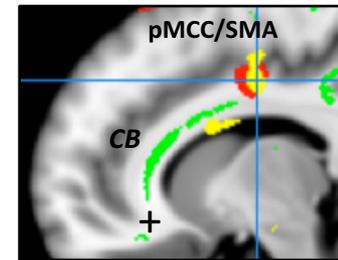
Beyond  
 ↑ SCC25  
 think  
 connectivity



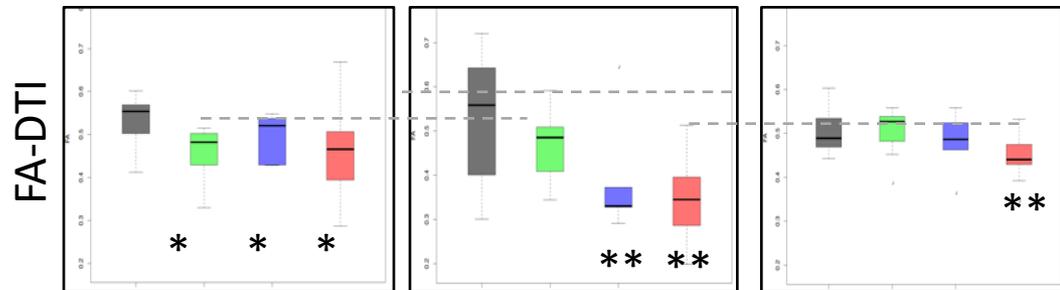
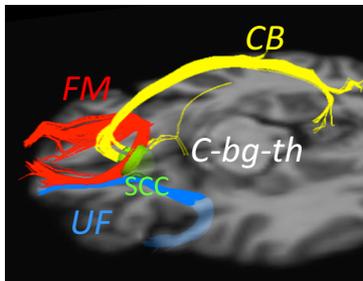
vmF10-p10  
 uF/Fm



ant Thal  
 Ctx-thalamic fs.

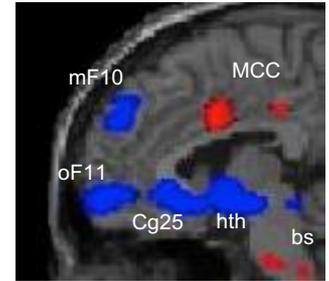


pMCC/SMA  
 Cingulum b.



link  
 to  
 genes?

# Step 3: What recovery with DBS is not linear



1 2

Network Reset/Switch  
acute, rapid

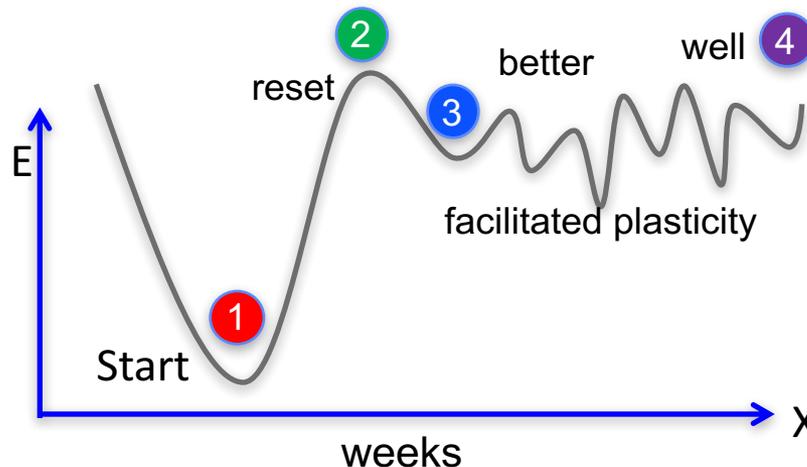
3 4

Network Plasticity  
delayed, progressive

What ever you just did,  
It is as though I suddenly shifted  
from a state of all consuming internal  
focus to realizing that there are a  
number of things around to do...

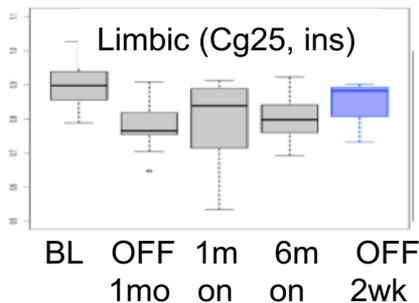
I know I still have a  
long way to go,  
but I am no longer in the hole.  
Now it comes down to me...

Instead of being  
in a deep canyon,  
I am up on on a ledge.

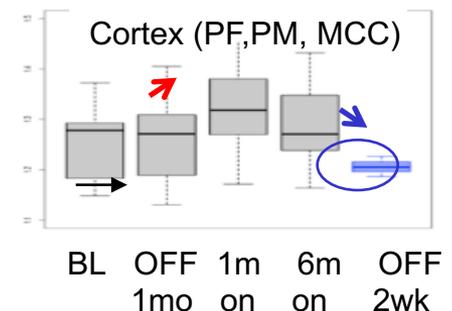


DBS doesn't make it easy—  
Just makes it possible.  
Now my efforts have impact.

early

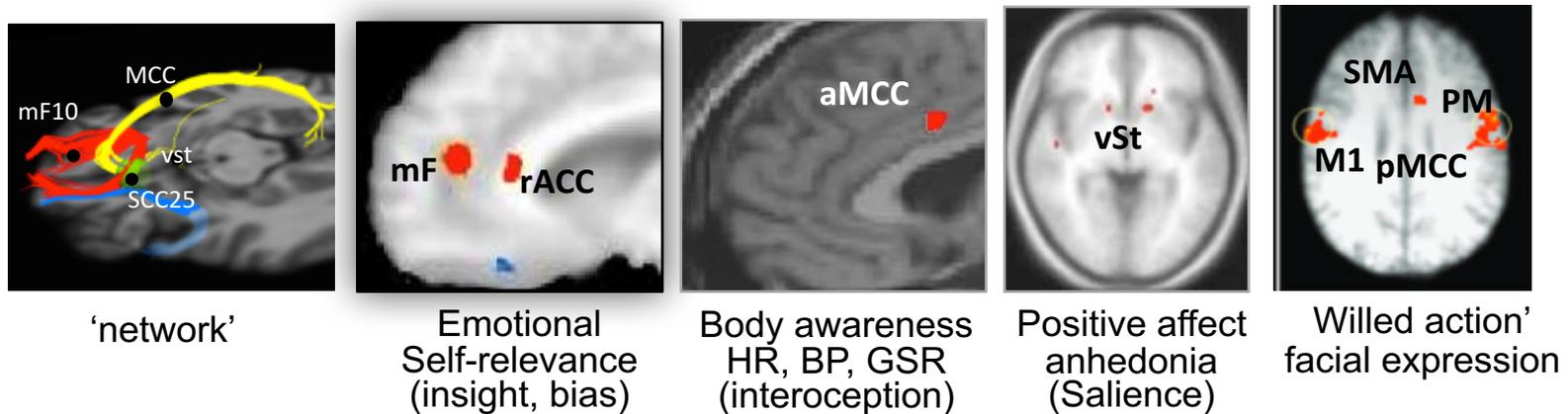


late



# Step 4: What Would be Useful?

readout of acute and chronic network effects



Strategy: reverse engineer observations from conventional DBS

- define target engagement (beyond anatomy)
- where to measure: local or remote or both
- parameter tuning/optimization (once engaged)
- maintenance (adjustment for plasticity/adaptation)
- response metric (eliminate clinical ratings)
- relapse detection and prevention (recurrence from life stress; discontinuation)

# Behavioral Confirmation of DTI Targeting

patient self report linked to impacted tracts



DTI targeting  
 randomized stim  
 130Hz 90us 6mA  
 9 patient: R/L leads  
 8 contacts; 108 trials

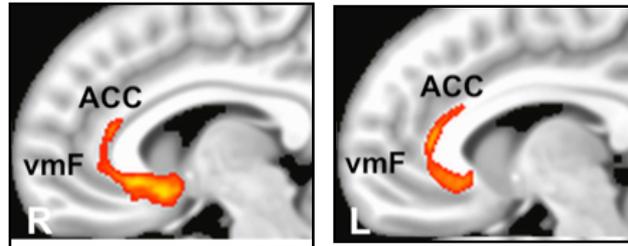
Type 1  
 interoceptive change

- I feel lighter
- I feel less heavy
- I can breathe
- the tension is gone
- the pain is gone

Type 2  
 exteroceptive change

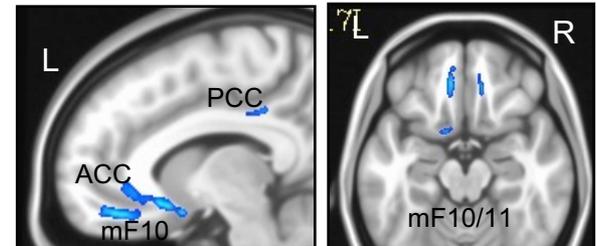
- I feel more connected
- I feel more optimistic
- I could walk my dog
- I could wash my hair
- can imagine seeing friends

30/72 active; 4/36 sham; 17L, 3R



Type1: CB alone--Left or right  
 (also tracts with change HR, SCR)

9/72 active (all L); 0 sham



Type 2: always w/ Type 1--bil MF, L-UF, L-CB  
 L side alone adequate, if hit FM?



K Choi



P Riva-Posse

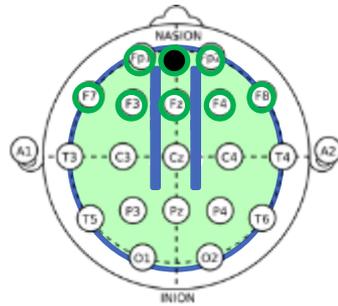
# In Search of Depression Control Signals

## Current Studies

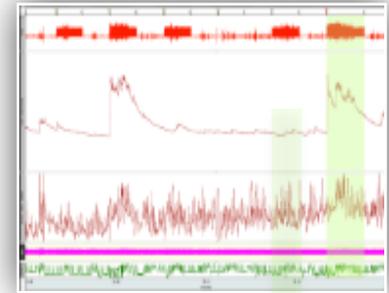
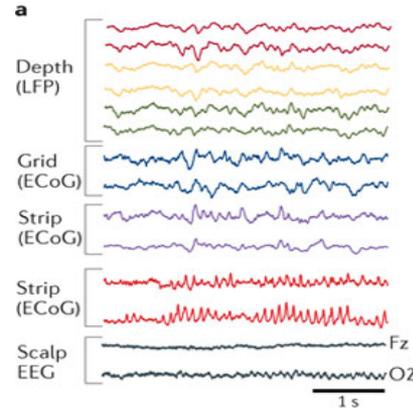
First Effects  
OR



Implantation surgery

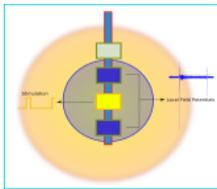


LFP, EEG



HR, SCR, EMG

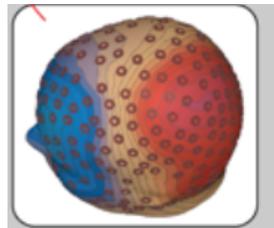
Longitudinal  
continuous  
recordings



recording  
DBS lead



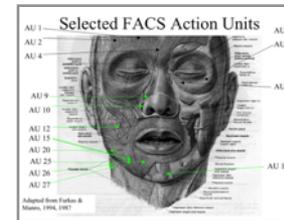
Activa PC+S  
SCC LFP



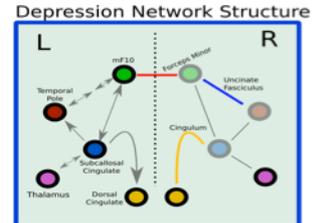
EGI-hdEEG  
rest/task



actigraphy,  
GPS, HRV



emotion-motor  
facial exp



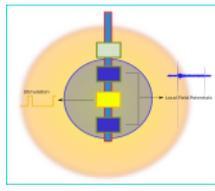
multimodal  
models

# E-physiology of Initial 'Target Engagement'

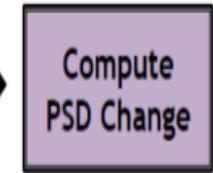
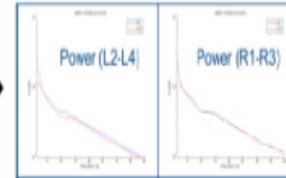
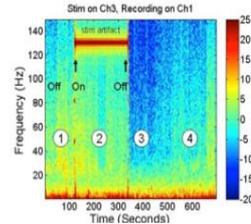
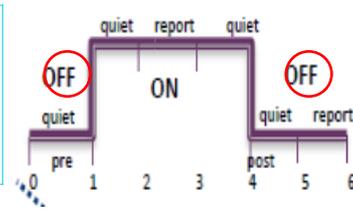
Local SCC LFP changes w/stim at best contacts



Otis Smart



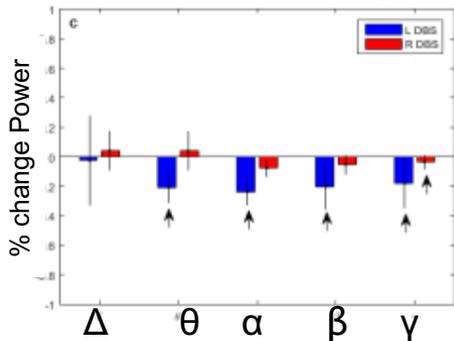
SCC LFP



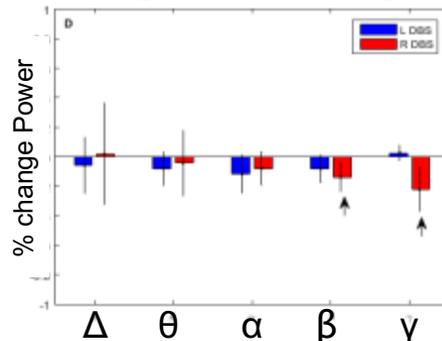
$$(PSD_{POST} - PSD_{PRE}) / PSD_{PRE}$$

First stim effects at optimal contact used at 6 mo)  
average n=10 Responders

Left Recording

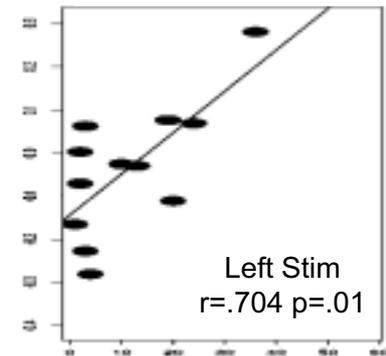


Right Recording



Left SCC Theta

% change theta power post-pre  
Left DBS  
n=12



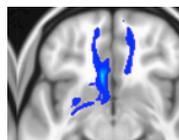
wks to 2w response

L≠R ipsi resp  
w/ equivalent impact on GM

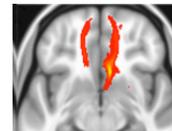
VTA DTI  
WM, GM  
CSF



Left DTI



Right DTI



L≠R independent of impact tracts

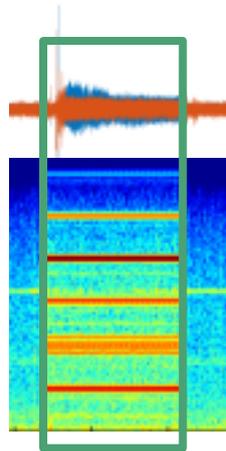
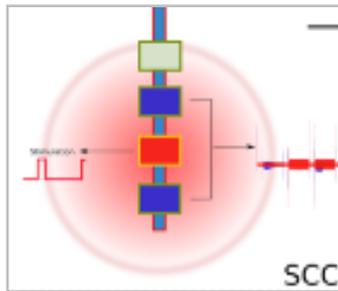
weeks to sustained 2w response

# LFP changes with 6 mo Chronic DBS recordings DBS off



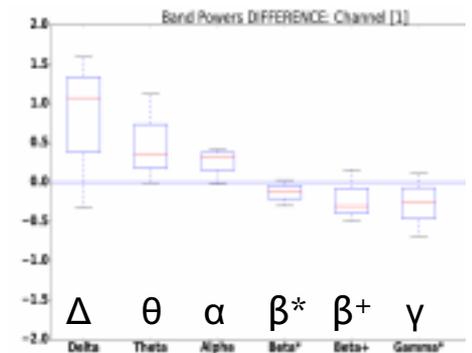
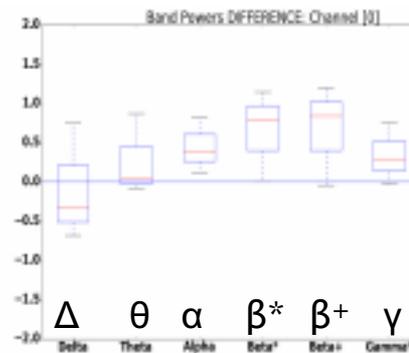
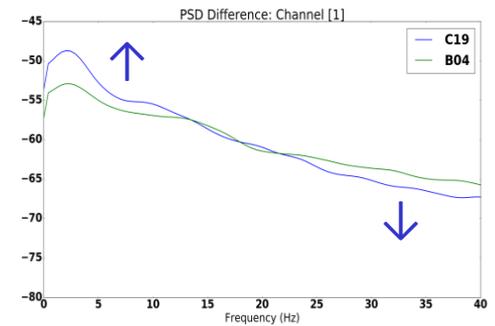
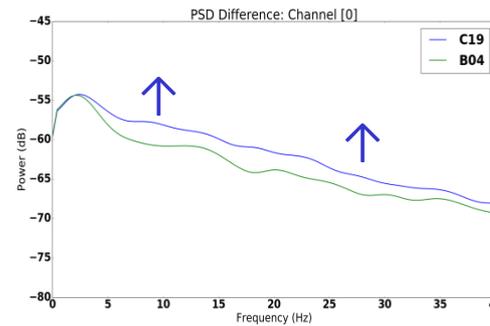
V Tiruvadi

recording montage



2 min sampling  
130Hz 60ms 4V  
stim off

## Chronic Bilateral DBS 6m vs Baseline

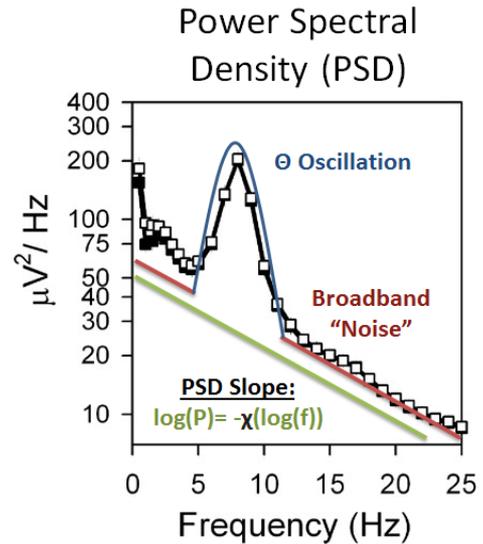
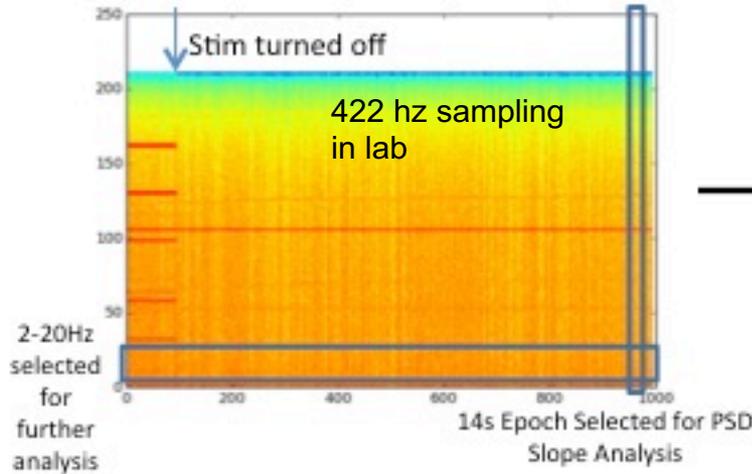


# SCC LFP Changes with Chronic DBS

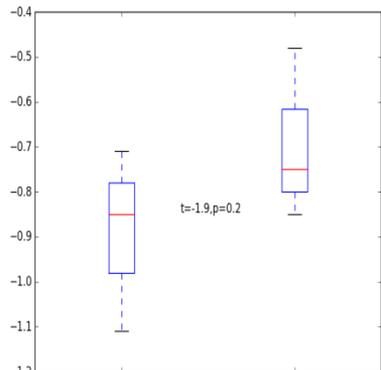
strategy to define response signal in single subjects



A Veerakumar

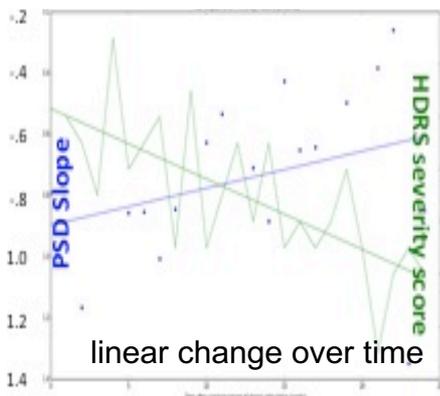


Slope: Baseline vs 6 months



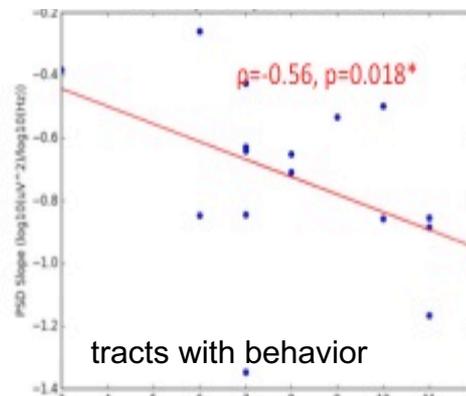
Pre DBS dep      6 mo DBS recovered

DBS 906 slope over time



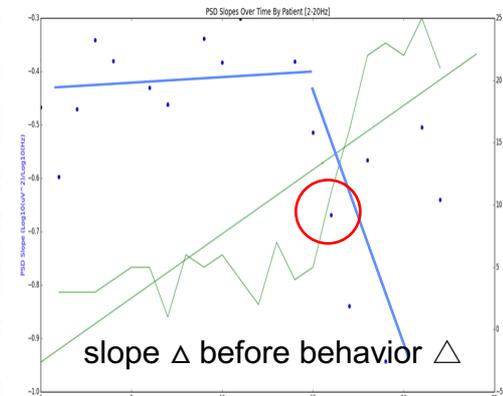
Weeks of active DBS

906 Severity vs Slope



HDRS-17 score

905 slope over time



weeks of active DBS

# Is Biomarker at SCC Adequate?

## network readout using high density EEG

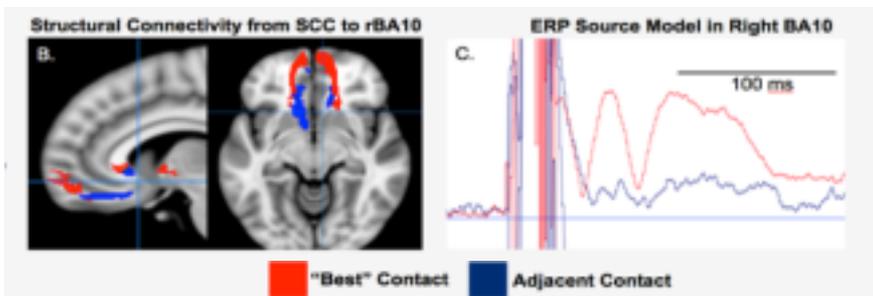
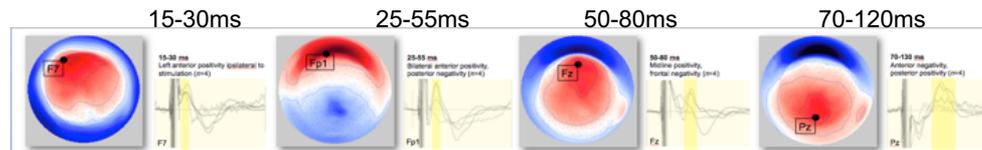
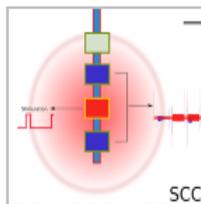


A Waters

1

Acute ERP response  
 1mo post-op n=4  
 2 min unilat stim  
 2-Hz 6mA 60ms

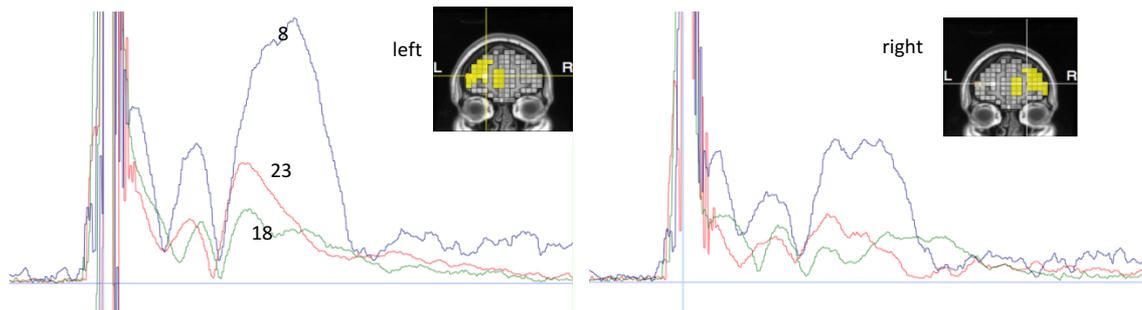
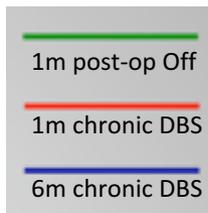
ON vs OFF  
 target network  
 differences



Target Engagement: 50-80ms Component: BA10 bilaterally  
 R differentiate best/not

2

Chronic ERP response  
 6m bilateral DBS  
 Repeat 2m unil stim  
 2-Hz 6mA 60ms



Network plasticity metric? 50-80ms Component: BA10/32 bilaterally  
 changes with depression severity score change

# Lessons Learned

## bi-directional translation

1. Timeline is critical. Acute and Chronic Experiments are both needed
  - a. What is target signal: acute (reset); chronic (well)
  - b. what is normalization; what is adaptation (good, bad)
  - c. effects of discontinuation
  
2. Use multiple behavioral models
  - a. all symptoms may not change with stim at a given target
  - b. different symptoms may change at different rates
  - c. network may adapt differently to stim at different locations
  
3. Know the human data and its nuances
  - a. need models of treatment resistant
  - b. best translational experiments will need to have clinical relevance

# Recovery Takes more than a Stimulator

evolving thoughts on successful recovery

I didn't realize how much work  
I would need to do myself.

Burden of Wellness. Passive to active role in own recovery

- when intractably ill, expect nothing (stuck, hopeless)
- singular goal: make pain go away
- once pain is gone; can't remember it
- renewed awareness of other problems, priorities
- rehabilitation/plasticity (reverse old habits/develop new ones)

Psychotherapy may be critical  
to achieve clinically meaningful effects